

Compliance Summary Report

Compliance Summary Report of ICF/ID Review Tool 31Oct2014 on OUR LADY OF THE WAYSIDE

Reviewer: *Mark Gadzinski*
 Provider: *OUR LADY OF THE WAYSIDE*
 County Name: *Lorain County*

Total Cites: 4
 Total POCs: 4

CITE #1

Question	Explanation	
Were there rights restrictions or aversive interventions in place which were not addressed in the IP?5123:2-3-25	Based on observation and interview with staff, it was determined that hygiene kits belonging to each individual are maintained in locked cabinets in the bathrooms due to the behavior(s) of a facility resident. This restriction for each resident in the facility is not addressed in the IP.	
POC	Status	Comments
All employees at Weebles will be re-inserviced to ensure no personal items are locked up. Consumers will have access to all personal items and property that belong to them. Items that are to be secured:•The Medication cart•Areas that are used to store items such as chemicals and cleaning agents that staff are held responsible to complete. The QIDP will inservice all Weebles employees by 11/3/14. The QIDP and Associate Director are responsible for on-going compliance in this area.	Approved	

CITE #2

Question	Explanation	
Does the individual's plan include a path to employment?5123:2-2-05	Re: ID#1 and ID#2, the ICF-IDD does not ensure that individuals' plans include a path to employment. The IP must address where the person is on the path to employment, using one of the stages identified in 5123:2-2-05(D)(2).	
POC	Status	Comments
The QIDP is revising the IPs for the individuals at Weebles to include "path to employment". This will be completed by 12/1/14. All IPs for individuals living in an ICF/IDD will be revised as each IP meeting is held to include "path to employment". This will be completed by 4/1/15. The QIDP is responsible for compliance in this area.	Approved	

CITE #3

Question	Explanation	
<p>Does the ICF-IID ensure that individuals have access to their funds as stipulated in the IP?5123:2-3-14</p>	<p>Re: ID#1 and ID#2, the IP does not specify the type of assistance to be provided by staff to ID#1 and ID#2, or the level of independence the individuals maintain when handling their personal funds, therefore, the reviewer was not able to determine if ID#1 and ID#2 "had access to their funds as stipulated in the IP." Based on discussion with the QIDP and observation, it appears that the ICF-IID manages all funds of these two individuals and ID#1 and ID#2 do not carry any money on their person.</p>	
POC	Status	Comments
<p>The facility will correct the deficiency as it relates to ID #1 and ID #2 in which the IP does not specify the type of assistance to be provided by staff to ID #1 and ID #2, or the level of independence the individual maintains when handling their personal funds. The facility will correct the deficiency by continuing to assist ID #1 and #2 to have access to their personal funds by formal IHP programming that will incorporate Money Concepts as a teaching and learning opportunity. The QIDP will ensure the Comprehensive Functional Assessment is reflective of what assistance ID #1 and ID #2 are in need of for financially related concepts. The QIDP will complete in-service training to all direct support professionals regarding the level of assistance that ID #1 and ID#2 individually requires. The QIDP will monitor through IHP programming on a continual basis in regards continued assistance needed in this area. The QIDP will be responsible for ensuring compliance in this area. The completion date 10/22/14.</p>	<p>Approved</p>	

CITE #4

Question	Explanation	
<p>Does the ICF-IID ensure that cash accounts, savings accounts, and checking accounts are reconciled at least every 60 days by someone who does NOT handle the individual funds?5123:2-3-14</p>	<p>Re: ID#1 and ID#2, based on individual financial record review, it was determined that there was no documented evidence that transactions specific to each individual as maintained in the client-held pooled account were included in the individual's account reconciliation to be done at least every 60 days. The reviewer was able to see, however, documented evidence that the house ledger for each individual was reconciled, and that the client-held general account bank statement was being reconciled (evidenced by date and initials of the reconciler).</p>	
POC	Status	Comments
<p>Accounts are reconciled at least every 60 days. There are two separate bank statements and ledgers for the time period in question for the individuals because OLW was in the process of transferring all funds from First Merit to Lorain National Bank. See attached.</p>	<p>Approved</p>	