Compliance Summary Report

Compliance Summary Report of **Lakeside Resident Care, LLC 31May2013** on **Lakeside Resident Care, LLC**

Reviewer: ***Rob Auer***
Provider: ***Lakeside Resident Care, LLC***
County Name: ***Columbiana County***

Total Cites: ***11***
Total POCs: ***11***

CITE #1

Question	Explanation
Was the service plan reviewed annually?	ID#1's service plan termed 10/5/12. His new span to not start intil 5/19/13.

POC	Status	Comments
N/A POC Referenced on Compliance Summary	Approved	

CITE #2

Question	Explanation
Is the service plan being implemented as written?	Review of ID#2's Homemaker Personal Care documentation shows provider needs to implement the IP as written for ID#2:-Assist to schedule monthly outing was not documented as implemented for April 3013.-Support & transportation to participate in community activity 2-4x/week was only documented as implemented 4 times in Feb., 3 times in March & 3 times in April 2013.-Support to purchase groceries weekly documented only once in March, and not documented for April 2013.-Purchasing clothing & personal items monthly was not documented as implemented in March & April 2013.-Fire drills once monthly & tornado drills once quarterly not documented as provided January to April 2013.-Weekly skill development to receive gentle direction to develop friendships was not documented for March, and then only 3 times for February 2013. -Monthly skill development to create an activity calendar was not documented as implemented in February 2013.

POC	Status	Comments
Some of the ips was staff over site. They were done and not marked. My plan of correction is to make weekly checks on the Ips and give verbal warnings to any staff that doesn't mark the IP or do it. 5/19/2013 Roger D. Kreps will oversight.	Approved	

CITE #3

Question	Explanation
If the individual(s) being served are unable to self-medicate, is the medication stored in a secure location based on the needs of the individual and their living environment?	Individuals #1 & #2, who requires assistance with medication administration, did not have their medications secure. They were within their reach on top the fridge for ID#1 and in a cabinet above the refrigerator for ID#2.

POC	Status	Comments

Our plan of correction. We purchased lock up boxes with lock and keys and put them in place. 5/30/2013 Roger D. Kreps will oversight	Approved

CITE #4

Question	Explanation
For direct service staff, hired after 10/1/09, did the staff person receive initial training prior to providing services with individuals that included:• Overview of serving individuals with developmental disabilities• Overview of basic principles and requirements of providing HCBS waiver services • Initial rights training • Initial MUI training • Universal precautions	Provider did not include:-Overview of DD -Overview of waiver servicesin initial training for Ruth Hourshour (hired 7/2/12) & Christina Ketchum (hired 11/12/12).

POC	Status	Comments
I wasn't aware that "Overview of DD" and "Overview of waver services" was required for new hire training. My plan of correction is to add this to the orientation. Locate DVD's and additional information to present it. Roger D. Kreps will oversight.	Approved	

CITE #5

Question	Explanation
Does the provider ensure that cash accounts, savings accounts, and checking accounts are reconciled at least every 60 days by someone who does NOT handle the individual funds?	As indicated in ID#1&2's ISP, provider needs to evidence monthly reconciliation of their accounts/ledgers. In addition, the in house petty cash ($quarters) was not accompanied by a ledger to reconcile.

POC	Status	Comments
Our plan of correction. We will separate all expenses into ledgers. The understanding that I had, this only meat for the petty cash ledgers that's why it was not done. Ledgers are now in place for personal needs, and a ledger for the workshop quarters is in place. Personal needs ledger 6/1/13, Work shop coins 5/12/2013 Roger D. Kreps will oversight	Approved	

CITE #6

Question	Explanation
Did the provider staff have an Abuser Registry check completed prior to direct contact with individuals?	Provider did not show evidence the Abuser Registry check was completed prior to contact with individuals. The Abuser Registry checks for Ruth Hourshour (hired 7/2/12) & Christina Ketchum (hired 11/12/12) were dated 2/26/13.

POC	Status	Comments
I check the Abuser Registry on the date of hire. I didn't print it out. My plan of correction is to take the time and print it out the same day. Roger D. Kreps will oversight	Approved	

CITE #7

Question	Explanation

Did the provider staff have a Nurse Aide Registry check completed prior to direct contact with the individuals?	Provider did not show evidence the Nurse Aide Registry check was completed prior to contact with individuals. The Nurse Aide Registry check s for Ruth Hourshour (hired 7/2/12) & Christina Ketchum (hired 11/12/12) were dated 2/26/13.

POC	Status	Comments
I checked the Nurse Aide Registry on the date of hire. I didn't print it out. My plan of correction is to take the time and print it out the same day. Roger D. Kreps will oversight	Approved	

CITE #8

Question	Explanation
Did the provider complete a BCII check on staff prior to direct contact with individuals?	Provider did not show evidence that BCII check, for Ruth Hourshour, was completed prior to contact with individuals. BCII on file is dated 4/04/13; first date of contact 7/05/12.

POC	Status	Comments
We did an online BCI check on Ruth before she was hired. Our plan of correction is to do the BCII written check's before they are hired. Roger D. Kreps will oversight	Approved	

CITE #9

Question	Explanation
Did the agency provider/County Board maintain a log of unusual incidents which includes: • Name of Individual• Description of Incident• Identification of Injuries• Time/Date of Incident• Location of Incident• Preventative Measures	Provider did not show a log for listing unusual incidents.

POC	Status	Comments
Our plan of correction. We purchased a note book and printed the sheets to create a log book for unusual incidents and put it in place. 5/9/2013 Roger D. Kreps Will oversight	Approved	

CITE #10

Question	Explanation
Did the agency provider/County Board conduct a monthly review of unusual incidents?	Provider did not evidence a monthly review of unusual incidents.

POC	Status	Comments
Our agency is small; we haven't had any unusual incidents since 2010 to review. Our plan of correction we will keep a monthly log and write that within it. Unless we do have an unusual incident. 5/9/2013 Roger D. Kreps will oversight	Approved	

CITE #11

Question	Explanation

Are the interior, exterior and grounds of the building maintained in good repair and in a clean and sanitary manner?	The back deck at ID#2's home has a very loose hand rail that needs reinforced.GFI outlet test/reset button in bathroom at ID#1's home was broken.

POC	Status	Comments
My plan of correction is do the repairs on the deck that has been done and completed. 5/10/2013 Replace the GFI, that has also been done and completed. 5/10/2013	Approved	