

Compliance Summary Report

Compliance Summary Report of **Abundant Life Quality Care 31Jul2013** on **Abundant Life Quality Care, LLC**

Reviewer: **Kateri Hargrove**
Provider: **Abundant Life Quality Care, LLC**
County Name: **Summit County**

Total Cites: **10**
Total POCs: **10**

CITE #1

Question	Explanation	
Was the provider staff's name checked against the U.S. general services administration system for award management database?	The provider did not ensure that direct service staff have a completed check against the U.S. general services administration system for award management database. A review of the staff file for Sheila Burton, hired 5/13/13 revealed no evidence of the required check.	
POC	Status	Comments
I will ensure that direct service staff have completed check against the U.S. general services administration system for award management database.(Sheila Burton's check attached to POC)	Approved	

CITE #2

Question	Explanation	
Was the provider staff's name checked against the Inspector General's Exclusions list?	The provider did not ensure that direct service staff have a completed check against the Inspector's general exclusion's list. A review of the staff file for Sheila Burton, hired 5/13/13 revealed no evidence of the required check.	
POC	Status	Comments
I will ensure to complete check against the Inspector's general exclusion's list for all staff prior to beginning work.(Sheila Burton's check attached to POC)	Approved	

CITE #3

Question	Explanation	
If the provider is responsible for providing Per Trip non-medical transportation, does the staff person have a form, signed form establishing the driver's physical qualification to provide non-medical transportation?	The provider did not ensure that staff providing Per Trip non-medical transportation were assessed by a physician to be capable of safe driving and passenger assistance. This affected both Sheila Burton, hired 5/13/13 and Ebony Smith, start date 4/1/13.	
POC	Status	Comments
I will ensure that all staff providing Per Trip non-medical transportation, are assessed by a physician to ensure they are capable of safe driving and passenger assistance.(Sheila Burton and Eboni Smith's physicals attached to POC.)	Approved	

CITE #4

Question	Explanation
If the provider is responsible for providing Non-Medical Transportation, did the staff person receive testing for controlled substances and was the staff person found to be drug free prior to providing transportation services?	.The provider did not ensure that staff providing non-medical transportation were tested for controlled substances and found to be drug free prior to providing transportation to individuals. This affected both Sheila Burton, hired 5/13/13 and Ebony Smith, start date 4/1/13.

POC	Status	Comments
I will ensure that all staff providing non-medical transportation are tested for controlled substances and found to be drug free prior to providing transportation to individuals. (Sheila Burton and Eboni Smith's drug test results attached to POC)	Approved	

CITE #5

Question	Explanation
Does the staff person have a high school diploma or GED?	The provider did not ensure that direct service staff have either a high school diploma or GED.A review of the staff file for Sheila Burton, hired 5/13/13 revealed no evidence of a High diploma or GED.

POC	Status	Comments
In the future I will obtain a copy of staff's high school diploma or GED prior to staff beginning work.(Sheila's Practical Nursing Diploma is attached to POC.)	Approved	

CITE #6

Question	Explanation
Did the provider staff have an Abuser Registry check completed prior to direct contact with individuals?	The provider did not complete an Abuser Registry check for staff prior to contact with individuals. A review of the staff file for Sheila Burton, hired 5/13/13 revealed no evidence of a completed Abuser registry check until 6/11/13.

POC	Status	Comments
I will ensure in the future to do Abuser Registry Check prior to staff having contact with individuals.	Approved	

CITE #7

Question	Explanation
Did the provider staff have a Nurse Aide Registry check completed prior to direct contact with the individuals?	The provider did not complete a Nurse Aide Registry check for staff prior to contact with individuals.A review of the staff file for Sheila Burton, hired 5/13/13 revealed no evidence of a completed Nurses Aide registry check until 7/18/13.

POC	Status	Comments
I will ensure in the future to do Nurse Aide Registry check prior to staff having contact with individuals.	Approved	

CITE #8

Question	Explanation
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Did the provider ensure that direct services are only provided by employees who do not have a disqualifying offense and who are not included on any of the databases identified in rule?

The provider did not ensure that only employees without disqualifying offenses provide direct service. A review of the staff file for Sheila Burton, hired 5/13/13 revealed the provider was using an old attestation form that did not list the current disqualifying offenses.

POC	Status	Comments
Sheila's signed updated Attestation form attached. I will be using the updated form for all staff.	Approved	

CITE #9

Question	Explanation
Was the provider staff's name checked against the sex offender and child-victim offender database?	The provider did not ensure that direct service staff have a completed check against the sex offender and child-victim offender database. A review of the staff file for Sheila Burton, hired 5/13/13 revealed no evidence of the required check.

POC	Status	Comments
I will ensure that direct service staff have completed check against sex offender and child-victim offender database. (Sheila Burton's check attached to POC)	Approved	

CITE #10

Question	Explanation
Was the provider staff's name checked against the database of incarcerated and supervised offenders?	The provider did not ensure that direct service staff have a completed check against the incarcerated and supervised offenders database. A review of the staff file for Sheila Burton, hired 5/13/13 revealed no evidence of the required check.

POC	Status	Comments
I will ensure that direct service staff have completed check against the incarcerated and supervised offenders database. (Sheila Burton's check attached to POC)	Approved	