

Ohio Department of Developmental Disabilities

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OFFICE OF PROVIDER STANDARDS AND REVIEW

**DIVISION OF
LEGAL AND OVERSIGHT**

ANNUAL REPORT

January 1, 2014 – December 31, 2014

TABLE OF CONTENTS

OFFICE OF PROVIDER STANDARDS AND REVIEW

Types of Compliance Reviews	3
2014 Review Totals	4
Most Common Areas of Non-Compliance Statewide	5
Training provided in 2014	6 - 7
2014 Initiatives in Licensed Settings	8
Compliance Reviews of Licensed Settings	9 - 10
License Terms	11
Development	12
Compliance Reviews of Non-Licensed Settings	13 - 14
Compliance Reviews of County Boards of DD	15
Adverse Outcomes	16
Medicaid Fraud	
Suspension, Revocation and Abeyance	
Agency Sanctions	17 - 19
Independent Provider Sanctions	20
Comparable Sanction Data 2010 - 2014	21
Offenses Resulting in Revocations	22

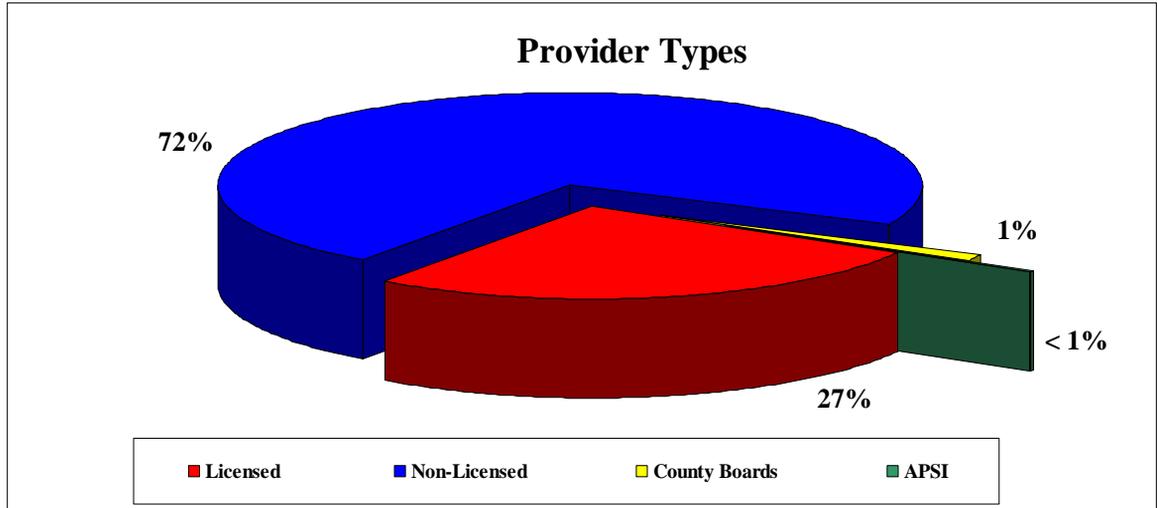
Types of Compliance Reviews

The Office of Provider Standards and Review (OPSR) conducts compliance reviews in licensed waiver funded settings, unlicensed waiver funded settings, licensed Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICFs/IID) and county boards. **In order to ensure consistency, a standardized review process is used across all settings.**

- **Compliance Review** – a regularly scheduled review of a provider conducted prior to the end of the provider’s term license, accreditation term or at least once every 3 years for non-licensed waiver settings. The review is conducted utilizing the same review tool.
- **Special Compliance Review** – an unscheduled review, which occurs due to identified concerns such as complaints, Major Unusual Incidents or adverse outcomes identified by other entities such as the Ohio Department of Health (ODH) or the Ohio Department of Medicaid (ODM).
- **New to the System Review** – a compliance review that occurs within one year of a new waiver provider’s initial submission of waiver billing.
- **Feasibility Review** – a review conducted prior to the licensing of a new residential facility to ensure compliance with physical environment standards.
- **Initial Reviews**– a review conducted within 45 days of the opening of a newly developed residential facility. Initial reviews result in a 1-year term license.
- **Plan of Compliance Verification** – a follow-up, performed either on-site or as a desk review to ensure that the provider has implemented the Plan of Compliance submitted in response to a compliance review.
- **Advocacy & Protective Services, Inc. (APSI) Program Reviews** – An onsite review of all APSI representatives’ training requirements, as well as a random sample of at least one individual per representative. Documentation reviews consisted of determining how often representatives met with the individual, types of interactions, review of advocacy goals and report timelines as required by the courts.
- **County Boards** – Accreditation reviews are conducted by the Ohio Department of Developmental Disabilities to ensure that County Boards of Developmental Disabilities meet accreditation standards as outlined in Ohio Administrative Code 5123:2-4-01. Through the accreditation process county boards are reviewed as a provider of service, if applicable, and as the administrative entity overseeing service delivery and waiver programs. Based on the results of an accreditation review, a County Board of DD is awarded an accreditation term of from one to four years.

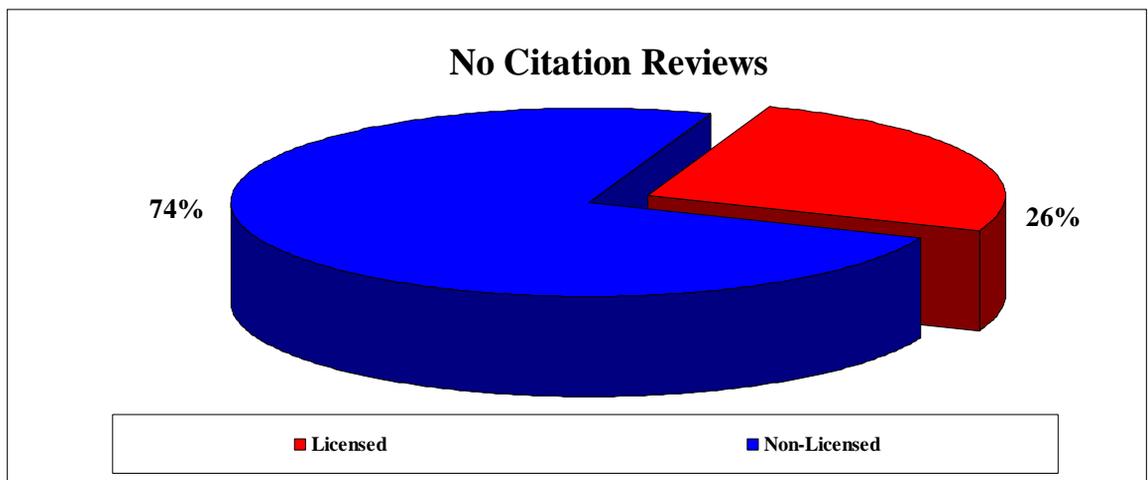
2014 Review Totals

The Office of Provider Standards and Review is made up of a staff of 49 employees, 35 of which are the Review Specialists who conduct the reviews. In 2014, the office conducted a total of 1,922 reviews throughout the state.



Licensed Settings (both Waiver Funded & ICF/DD)	515
Non-Licensed Waiver Settings	1,381
County Board of Developmental Disabilities	20
APSI	<u>6</u>
Total Reviews	1,922

DODD is proud to recognize that of the 1,922 compliance reviews conducted in 2014, 806, or 42%, of the reviews resulted in No Citations to the provider.



Licensed Settings (both Waiver Funded & ICF/IID)	207
Non-Licensed Waiver Settings	<u>599</u>
Total Reviews	806

MOST COMMON AREAS OF NON – COMPLIANCE STATEWIDE

Independent Providers Top Citations

- Service documentation did not include all required elements
- No evidence of annual training in Principles of Positive Intervention Culture
- No evidence of annual training in Self Determination
- No evidence of current CPR/First Aid training
- Service plan not implemented as written

Agency Providers Top Citations

- Service documentation did not include all required elements
- Agency providers did not maintain a log of unusual incidents
- Service plan not being implemented as written
- Agency providers did not conduct a monthly review of incidents
- Agency providers did not identify and report all unusual and major unusual incidents

ICF/IID Providers Top Citations

- IP not implemented as written
- All informed consents were not obtained for services to be implemented in the IP
- The IP did not address the needs for Supervision in the IP
- Plans that included aversive interventions did not have a physician's authorization for the use of aversive interventions
- Medications, treatments, and dietary orders not being followed

County Board Accreditation Review Top Citations

- Service plans failed to address all assessed needs
- Rights restrictions not reviewed by Human Rights and Behavior Support Committees
- Individual Service Plans were not revised based on the changes in the individuals wants/needs
- Statements attesting that employees had no disqualifying offenses were not completed timely
- BCII checks were not completed timely

APSI Review Top Concerns

- The APSI Representative did not create and document progress towards the completion of the advocacy plan goals
- The APSI Representative did not meet with the individual on a quarterly basis. Visits did occur, however they often were outside of the timelines established by APSI procedures
- The APSI representative did not assure that all restrictive measures were identified in the individuals' support plans

Trainings Provided by OPSR in 2014

In 2014, OPSR staff provided training to the field on various topics. In all, 4,503 constituents received training throughout the state.

TOPIC	LOCATION	DATE	# IN ATTENDANCE
Accreditation Process	Franklin County	11/17/14	31
Licensure/ICF Streamlining	Franklin County (OHCA Conference)	04/30/14	70
	Franklin County (Ohio Dept. of Health)	12/08/14	125
All OPSR Programs	OACB Conference – Health & Safety Panel	12/4/14	150
SSA Rule/Person-Centered Planning	Franklin County	02/12/14	145
	Franklin County	02/14/14	168
	Franklin County	02/26/14	172
	Franklin County	03/04/14	166
	Statewide (Webinar)	03/05/14	49
	Franklin County	03/06/14	159
	Franklin County (OACB SSA Forum)	03/12/14	175
	People First Conference – Clark Co.	03/14/14	15
	Franklin County	04/07/14	154
	Franklin County – OPRA Conference	04/08/14	100
	Cuyahoga Falls – OH Waiver Network	04/09/14	80
	Franklin County – OH Waiver Network	04/10/14	80
	Statewide (Webinar w/Director)	04/24/14	500
	Youngstown ARC	04/25/14	120
	Stark County ARC	05/28/14	33
	Franklin County (OACB SSA Forum)	08/27/14	348
	Summit County	09/08/14	43
	Cuyahoga County	09/09/14	22
	Franklin County – OCID ICF Summit	09/16/14	140
	Athens County	09/22/14	44
	Coshocton County	09/23/14	23
	Cuyahoga County – OAAS	09/25/14	65
	Richland County	09/29/14	44
	Franklin County	09/30/14	50
	Allen County	10/20/14	50
	Lucas County	10/21/14	48
	Hamilton County	10/29/14	74
Montgomery County	10/30/14	60	
Franklin County (OACB Conference)	12/03/14	150	
Franklin County (OACB Conference)	12/05/14	100	

Trainings Provided by OPSR in 2014

(Continued)

TOPIC	LOCATION	DATE	# IN ATTENDANCE
Provider Compliance	Columbus Developmental Center	04/30/14	5
	Columbus Developmental Center	05/06/14	1
	Columbus Developmental Center	05/07/14	8
	Columbus Developmental Center	06/11/14	3
	Columbus Developmental Center	08/13/14	12
	Statewide (Webinar)	08/18/14	45
	Statewide (Webinar)	08/21/14	35
	Statewide (Webinar)	08/29/14	17
	Statewide (Webinar)	09/15/14	19
	Statewide (Webinar)	09/18/14	15
	Statewide (Webinar)	09/22/14	31
	Columbus Developmental Center	11/05/14	2
	Statewide (Webinar)	11/10/14	22
	Statewide (Webinar)	11/12/14	25
	Statewide (Webinar)	11/14/14	42
	Columbus Developmental Center	11/17/14	6
	Statewide (Webinar)	11/18/14	23
	Clermont County	11/24/14	13
Statewide (Webinar)	12/11/14	3	
CMO (Software database for entering reviews)	Columbus Developmental Center	04/30/14	5
	Columbus Developmental Center	05/06/14	1
	Columbus Developmental Center	05/07/14	8
	Columbus Developmental Center	06/11/14	3
	Columbus Developmental Center	08/13/14	12
	Statewide (Webinar)	08/27/14	1
	Columbus Developmental Center	11/05/14	2
	Columbus Developmental Center	11/17/14	6
MUIs & risks pertaining to Person-Centered Planning	Franklin County (OACB Conference)	12/05/14	85
Behavior Support	Franklin County (OACB Conference)	12/04/14	300

2014 Initiatives in Licensed Settings

In 2014, DODD continued work on three major initiatives related to the operation of licensed residential facilities.

ODH to DODD License: In 2014, DODD finalized work on the transition of licenses for 12 ICFs/IID from the Ohio Department of Health to the Ohio Department of Developmental Disabilities. By the end of 2014, all of the facilities successfully transitioned from ODH to DODD. Throughout the year, the Office of Provider Standards and Review conducted term license reviews for the facilities while providing on-going technical assistance to those facilities still developing systems to meet the regulations and requirements of DODD.

Streamlining Committee: In 2014, DODD staff from the Office of Provider Standards continued to work with our partners from the Ohio Department Health on the development of a quality driven regulatory review process for ICFs/IID. During 2014, a process was developed to “extend” 2-year licenses received during a facility’s last regular term license review. If it was determined that an ICF/IID with a two-year term received no citations in priority areas and no citations that indicated a risk to individual health and safety, their term was extended by 1 year, eliminating the need for a review in 2014. In addition to this initiative for regulatory review, DODD conducted numerous pilot reviews to evaluate the impact of an agency wide review of ICF operations rather than a facility-by-facility review process. This process included an administrative review of an agency in areas such as personnel, staff training and operations. In addition, an on-site visit to a sample of facilities operated by an agency reviewed areas such programmatic services, physical environment and individual interviews. The pilot concluded in December 2014. In 2015, DODD will compile the information from the pilot and continue to work with the Ohio Department of Health in the sharing of information and coordination of regulatory processes related to ICFs/IID.

ICF Voluntary Conversion: This initiative gives ICF providers the opportunity to voluntarily convert an existing ICF/IID funded bed to a waiver funded bed for individuals who would prefer to receive Home and Community-Based Services (HCBS) in a community-based setting. The ICF certification of a converted bed is surrendered and the bed is removed from the state-wide total ICF bed count. This effort will not only assist the state in our long-term care rebalancing efforts, but will provide individuals with the opportunity to receive services in their preferred setting. In 2014, a total of 64 ICF certified beds were successfully converted to waivers. This allowed 64 individuals to be enrolled on an HCBS Waiver and receive their services in a community setting.

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Compliance Reviews of Licensed Settings

Waiver Funded Licensed Facilities – A residential facility operated by a certified HCBS waiver provider, which is licensed by the Ohio Department of Developmental Disabilities and serves individuals with disabilities enrolled in a Home and Community-Based Services (HCBS) waiver program. The Ohio Revised Code, Section 5123.19, mandates reviews of all facilities licensed by the department. The review results in the licensee being issued a license to operate the facility. A facility may receive a 1, 2 or 3-year term license based on the outcome of the review.

Intermediate Care Facility for Individuals with Developmental Disabilities (ICF/IID) – A residential facility, which is licensed by the Ohio Department of Developmental Disabilities and certified by the Ohio Department of Health. The Ohio Revised Code, Section 5123.19, mandates reviews of all facilities licensed by the department. The review results in the licensee being issued a license to operate the facility. A facility may receive a 1, 2 or 3-year term license based on the outcome of the review.

In 2014, there were 1,090 licensed facilities in operation.

Those facilities were categorized as:

10 Developmental Centers

420 ICF/IID Facilities

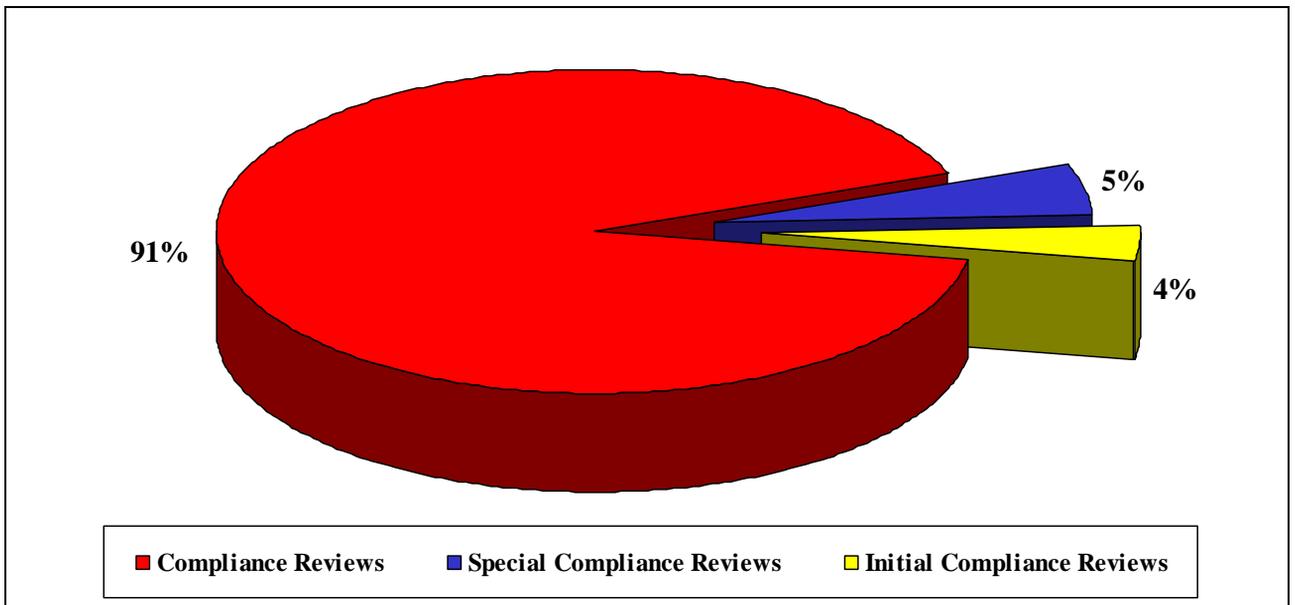
660 Non-ICF/IID Facilities

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Compliance Reviews of Licensed Settings

Between January 1, and December 31, 2014, the department conducted 515 compliance reviews in licensed settings.

Of the 515 compliance reviews conducted during 2014, 470 were regular compliance reviews, 19 were initial reviews, and 26 were special reviews.

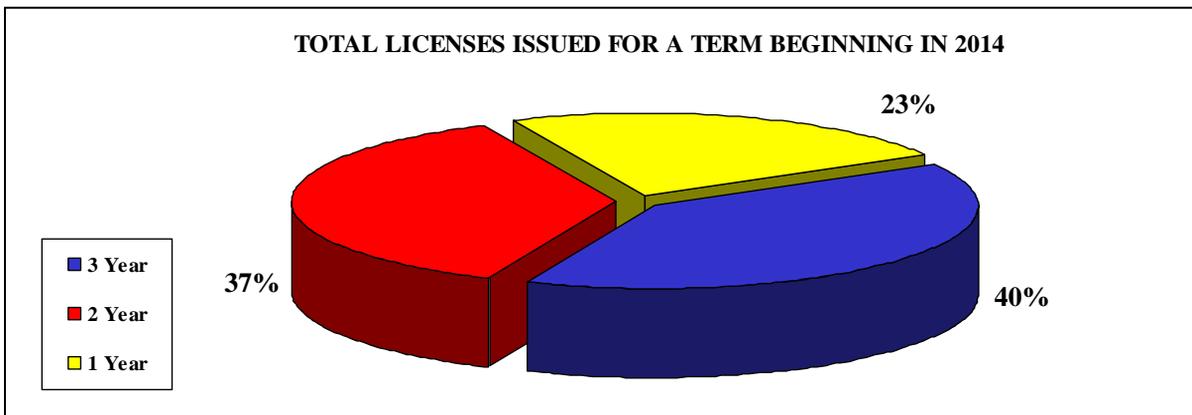
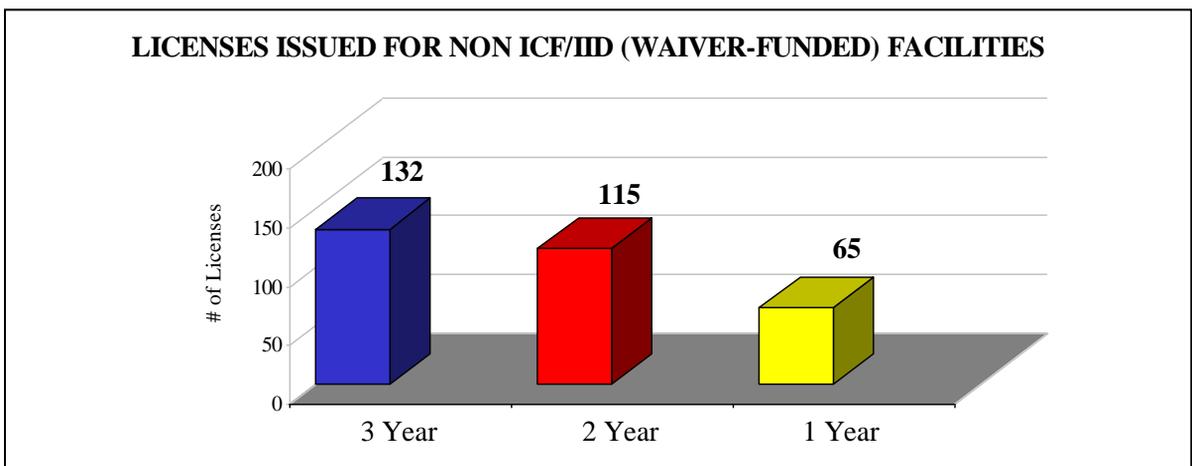
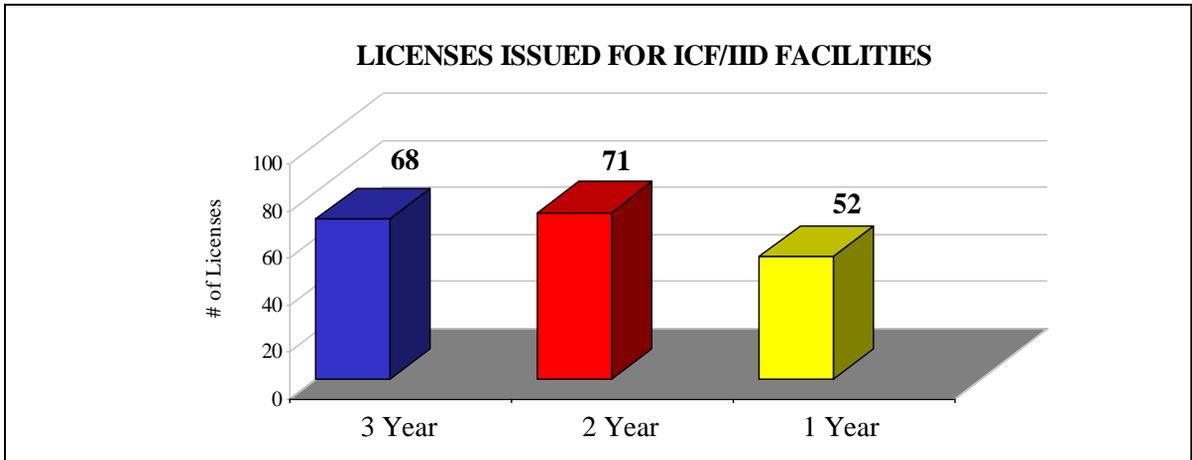


Compliance Reviews *	470
Special Reviews	26
Initial Reviews	<u>19</u>
Total	515

* Result in a 1, 2 or 3-year term license

LICENSE TERMS

Licenses issued for a term between January – December 2014:

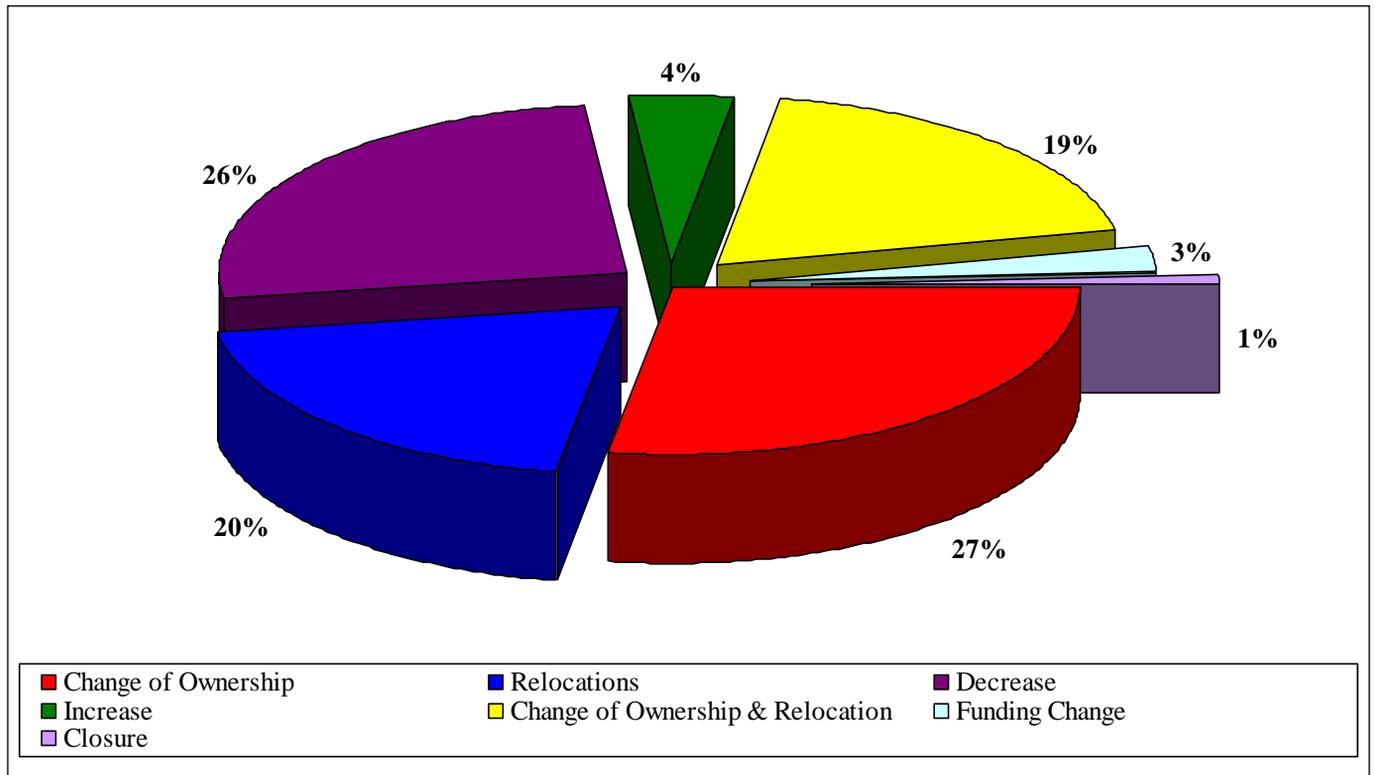


3 Year	200
2 Year	186
1 Year	117
Total	503

License terms are determined based on the results of Compliance Reviews. A facility undergoing an Initial Review will only be issued a one year term license.

DEVELOPMENT

The State of Ohio has capped the maximum number of licensed beds available in the state. There are no new beds available for development, but existing beds can undergo changes such as relocation, change of ownership and increases and decreases in the capacity of a facility. The Ohio Department of Developmental Disabilities is committed to the vision of community-based services for individuals with developmental disabilities and has worked hand in hand with providers to assist in the evaluation of development proposals. In 2014, OPSR processed 91 of these types of developments. For each development that resulted in the licensed beds being moved to another location, DODD is required to complete a feasibility review to ensure that the new facility meets the physical environment requirements outlined in the Ohio Administrative Code. In 2014, OPSR completed 23 feasibility reviews.



Action Table

Decrease in Capacity	31
Relocations (entire facility relocates to a new location)	24
Change of Ownerships	23
Increase in Capacity	5
Change of Ownership & Relocation	4
Funding Change*	3
Closure (permanent closure of a facility)	1
Total	91

* Funding Change = Entire facilities that switch from ICF/IID funding to Waiver funding

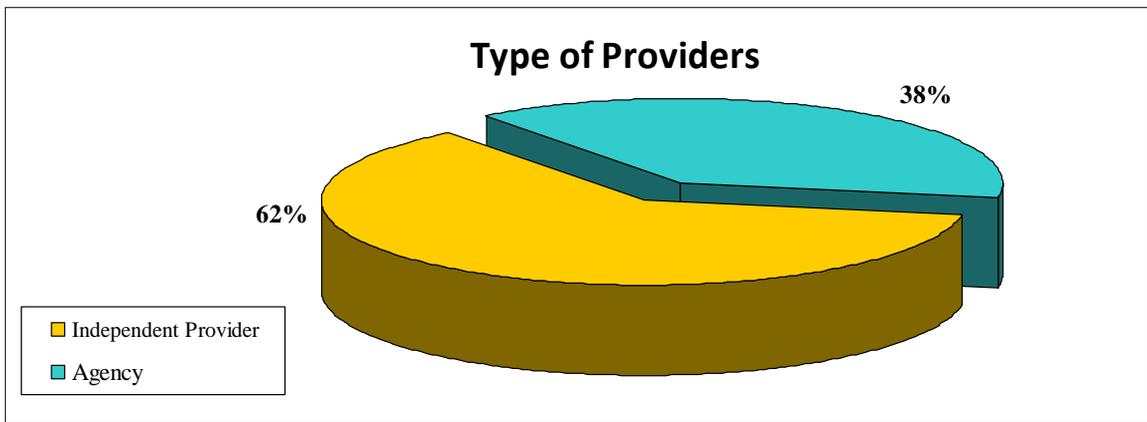
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Compliance Reviews of Community-Based Waiver Services

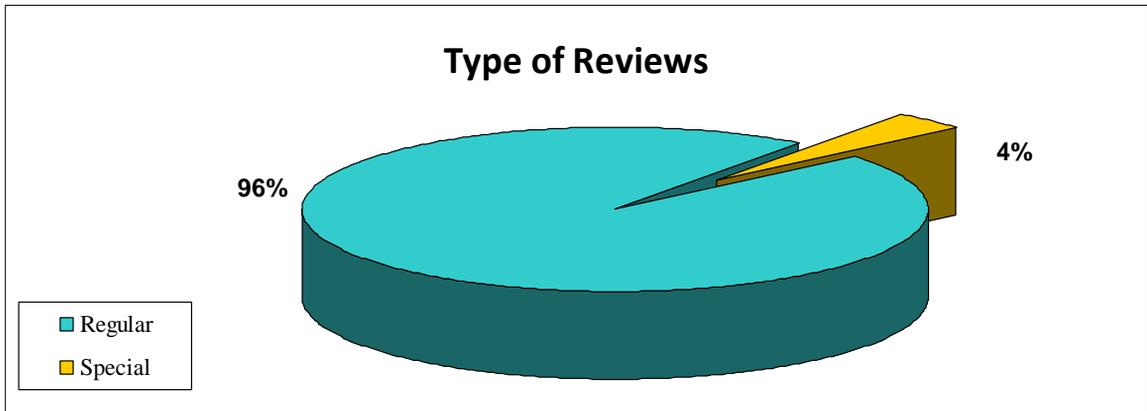
A variety of Home and Community-Based Services (HCBS) are provided in the homes and family homes of waiver recipients. Certified HCBS Waiver Providers deliver Services through four waivers programs – Individual Options (IO), Level One (L1), Transitions Developmental Disabilities (TDD) and the Self-Empowered Live Funding (SELF) waiver. These waivers encompass a variety of services - Homemaker Personal Care, Transportation, Adult Day Services, Waiver Nursing, Personal Care Aide, Adult Day Health Center, Supplemental Transportation and ancillary services such as Environmental and Home Modifications, Social Work, Nutrition and Adaptive & Assistive Equipment, Remote Monitoring, Home-Delivered Meals, Emergency Response and Respite.

Compliance reviews for HCBS providers are conducted by the Ohio Department of Developmental Disabilities and by the County Boards of Developmental Disabilities. Ohio Administrative Code 5123:2-2-04 requires certified HCBS providers to be reviewed once during the period of certification to ensure compliance with continuing certification standards of the services provided.

In 2014, the department conducted **1,381 regular and special compliance reviews** of both Agency and Independent Providers in non-licensed settings. In 2014, there were 546 newly certified providers subject to review within the year after first billing. The department reviewed 99.8% of these providers within that timeframe. Fifteen newly certified providers were revoked or voluntarily relinquished their certification.



Agency Reviews	526
Independent Provider Reviews	<u>855</u>
Total Reviews	1,381

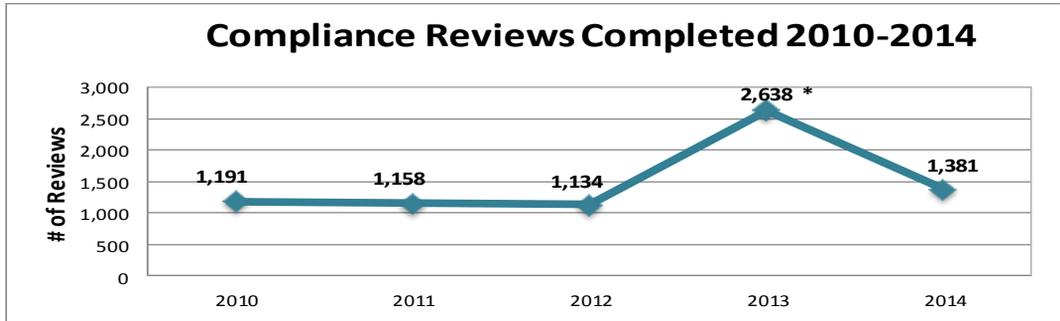


Regular Reviews	1,329
Special Reviews	<u>52</u>
Total Reviews	1,381

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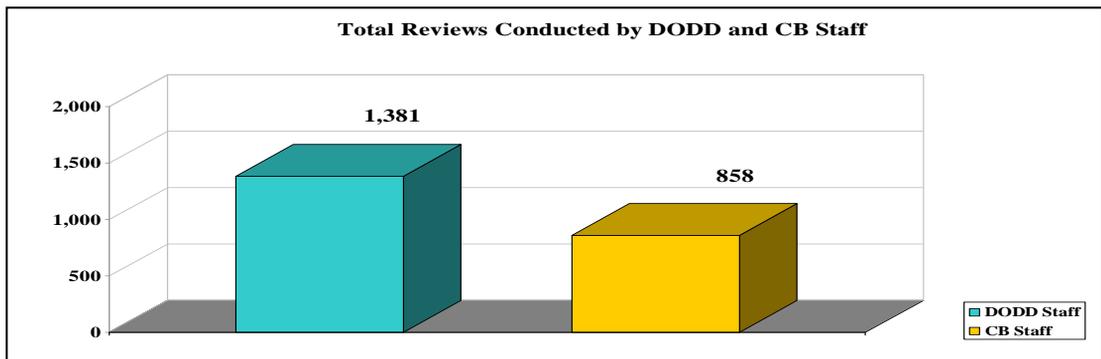
Compliance Reviews of Community-Based Waiver Services

The chart below highlights the increase in the number of compliance reviews community-based waiver services from 2010 through 2014.

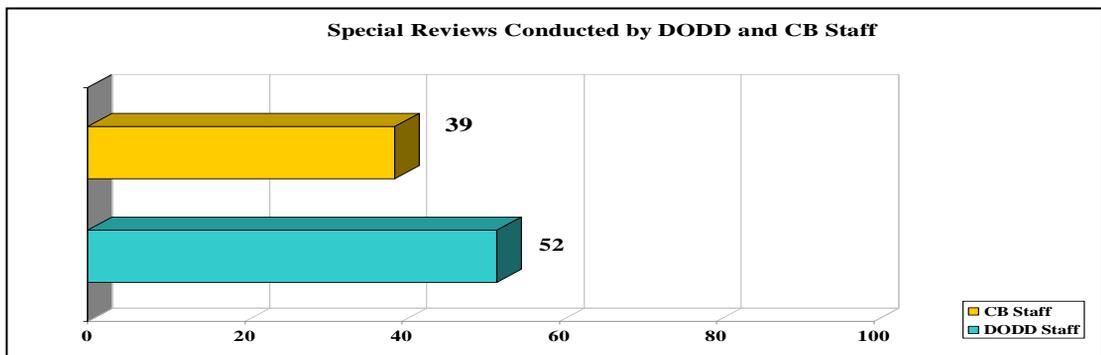


In addition to the 1,381 reviews conducted by DODD review staff, there were 858 Provider Compliance reviews conducted by County Board staff around the state in 2014, including 39 special reviews. DODD shares the responsibility for conducting compliance reviews of certified waiver providers with the county boards.

* The unusually high number of reviews in 2013 were a result of statewide group Transitions Development (TDD) Waiver provider reviews as an introduction to the DODD system after the transfer of TDD from the Ohio Department of Medicaid (ODM). In 2014, TDD reviews were conducted individually like all other waiver providers.



Total reviews conducted by DODD staff	1,381
Total reviews conducted by CB staff	858
Total	2,239



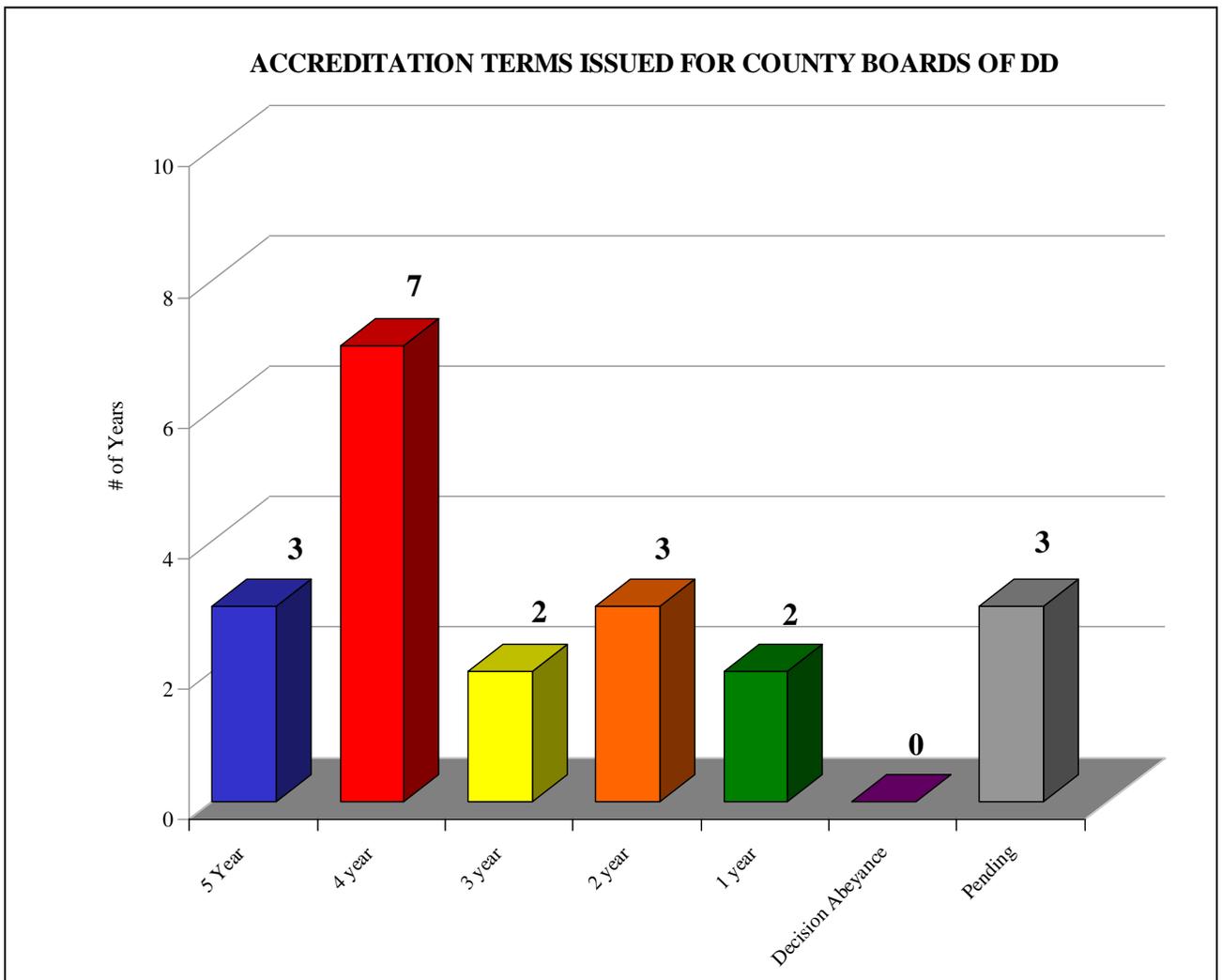
Special reviews conducted by DODD staff	52
Special reviews conducted by CB staff	39
Total	91

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Compliance Reviews of County Boards of DD

County Boards of DD – the County Boards have administrative oversight responsibilities for the delivery of services to individuals with disabilities in each county of the state. In addition to administrative oversight, a County Board of DD may also be a certified HCBS waiver provider of adult day services to individuals with developmental disabilities within the county. The administrative oversight of the delivery of services is reviewed for all County Boards of DD and for those County Boards which are also certified as an HCBS waiver provider. The County Board undergoes the same review process as any certified provider of waiver services when providing Home and Community-Based Services (HCBS) services.

Twenty counties were reviewed in 2014. The results of those surveys are as follows:



ADVERSE OUTCOMES

Medicaid Fraud

The Ohio Department of Developmental Disabilities is committed to identifying and eliminating fraud. We view it as our collective responsibility to safeguard the limited resources available to Ohio Medicaid recipients. Providers are responsible for ensuring that Medicaid billings are accurate and that they are only billing for services for which they are authorized through the Individual Service Plan (ISP) and have provided. The County Boards and Councils of Government (COGs) provide local oversight and monitoring to ensure services are provided in accordance with the plan. Additional oversight is provided by the Department. The Department receives referral information regarding possible fraudulent activity and presents it to the Office of the Attorney General through bi-weekly Medicaid Fraud Control Unit Meetings.

In 2014, 7 DODD and 14 TDD certified providers were convicted of Medicaid fraud. Additionally, 29 referrals were made to the Attorney General's Office for possible fraudulent activities. Eighteen were direct referrals from County Board and outside entities and 11 were referred by DODD. A conviction of Medicaid fraud results in revocation of the provider's certification and/or license.

Suspension, Revocation and Abeyance

The Department of Developmental Disabilities is committed to educating providers of the standards that must be followed and assisting them in maintaining compliance with rules through technical assistance and support. However, in some cases when good cause exists, the Department may initiate sanctions against a provider. Suspension of new services or revocation proceedings of a provider's certification(s) or license(s) may be initiated if the Department finds one or more of the following:

- Substantial violation(s) of applicable requirements when violation(s) present a risk to an individual's health and welfare
- A pattern of non-compliance with either plans of compliance that have been accepted by the county board or those plans of compliance that the department has approved in accordance with this rule
- A pattern of continuing non-compliance with applicable requirements
- A licensed provider has had their license revoked by the licensing authority
- Other good cause, including misfeasance, malfeasance, nonfeasance, confirmed abuse or neglect, financial irresponsibility, or other conduct the director determines is injurious to individuals being served
- Placement on the Abuser Registry
- Conviction of Medicaid Fraud

AGENCY SANCTIONS – JANUARY 1 – DECEMBER 31, 2014

The following charts identify sanctions imposed on **Agency Providers** (for both *licensed settings* and providers of waiver services in *non-licensed settings*), as well as **Independent Waiver Providers**. They are separated by ‘Suspension Issued Only’, ‘Suspension & Revocation Issued’ and ‘Revocation Issued Only’ for both groups.

ONLY SUSPENSION ISSUED

<u>Provider</u>	<u>Suspension Imposed</u>	<u>Suspension Lifted</u>
<i>The following Agency Suspensions were <u>resolved</u> in 2014 - total: 33</i>		
Kellis Home*	07/11/13	01/23/14
Get U There Transportation	09/12/13	02/03/14
Unique Health Services	06/28/13	02/14/14
Help Foundation	01/28/14	03/20/14
Orange Village Care Center*	02/04/14	04/09/14
Community Concepts Home #6*	03/11/14	04/09/14
Community Concepts Home #7*	03/11/14	04/09/14
Medina Creative Accessibility	10/17/13	05/04/14
Samia Transportation	02/14/14	06/12/14
New Vision Employment Services	01/31/14	06/17/14
Angels 4 Life	04/28/14	06/26/14
The Alpha Group of Delaware	05/27/14	07/07/14
Total to Care Home Health Care	07/11/13	07/11/14
High Hopes Support Services	02/21/14	07/15/14
Oak Grove Manor*	03/11/14	07/15/14
LOTS	04/03/14	07/17/14
Hope Homes #2 *	04/28/14	08/04/14
Rosemary Center*	03/17/14	08/07/14
OGHOSA Homecare and Transportation	05/30/14	08/07/14
Lakeside Training & Employment	06/13/14	08/15/14
Medicore Transport	06/13/14	09/17/14
Vienna Care Center*	02/04/14	09/30/14
Care the Wright Way	06/12/14	10/03/14
Bridges Rehabilitation Services	07/21/14	10/06/14
Creative Living Solutions	04/02/14	10/17/14
Goodwill of South Central Ohio	09/15/14	10/22/14
2nd Home	09/03/14	10/30/14
Worry Free Transportation Services	05/30/14	11/06/14
G.S. Burton Development	07/15/14	12/03/14
Whitehouse Behavioral Health	10/03/14	12/08/14
Zeigler Bancroft*	04/17/14	12/12/14
Zeigler Grelyn*	04/28/14	12/12/14
Gracious International Care Services	04/01/14	12/17/2014

* Indicates licensed home

AGENCY SANCTIONS – JANUARY 1 – DECEMBER 31, 2014

ONLY SUSPENSION ISSUED *(continued)*

<u>Provider</u>	<u>Suspension Imposed</u>
<i>The following Agency Suspensions were <u>pending</u> as of 12/31/14 - total: 19</i>	
Guardian Friends	08/29/13
Serenity Supported Living	01/08/14
Youngstown Contracting, Inc	03/21/14
Goldbrim Medical Services	04/01/14
Avoy Homecare	05/27/14
New Hope Supportive Services	06/13/14
Kimwood Home*	07/15/14
Toledo Metro Housing Community Dev Network	08/06/14
Cincinnati Christian Ministries	08/08/14
Enrichment Center #1 *	09/15/14
Dusoma Home Care Services	10/03/14
Independent Options Support Services	10/27/14
Change MRDD Services	11/06/14
Molden Corp dba Community Care Providers	11/07/14
Quality Care for Independence	11/07/14
CJ&J Davis Personal Home Care Agency	11/18/14
Tender Loving Care	12/17/14
LIFE	12/18/14
Terry Home	12/18/14

* Indicates licensed home

SUSPENSION & REVOCATION INITIATED

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Suspension Lifted</u>	<u>Revocation Withdrawn</u>
<i>The following Agency Certification Suspensions and/or Revocations were <u>lifted</u> in 2014 - total: 4</i>			
Unique Health Services	06/28/13 / 09/06/13	02/14/14	02/14/14
Medina Creative Accessibility	10/17/13	05/14/14	05/14/14
East Akron Community House**	08/22/14 / 09/04/14		07/04/14
Total to Care Home Health Care	07/11/13	07/11/14	07/11/14
Mary Mcleod-Bethune Intervention & Enrichment Center	05/30/12 / 03/10/14	12/17/14	12/17/14

** Indicates voluntary withdrawal

AGENCY SANCTIONS – JANUARY 1 – DECEMBER 31, 2014

SUSPENSION & REVOCATION INITIATED *continued*

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Certifications Revoked</u>
<i>The following Agency Provider Suspensions & Revocations were <u>adjudicated</u> in 2014 - total: 19</i>		
Cherish Life	10/11/12	01/23/14
Heartfelt LLC	06/28/13	02/19/14
Guardian Eyes	04/12/13	02/19/14
Caring People Help People	08/06/13	02/19/14
Miller Foster Home Care Center LLC	08/09/13	04/15/14
Miller Foster Home Nursing Service & SL	08/09/13	04/15/14
Miller Foster Home Care Center LLC*	08/09/13	04/15/14
Dobb, Inc.	12/23/13	06/02/14
Ohio Supports, Inc.	04/24/13	06/25/14
New Hope Specialized Services	06/25/13 / 01/16/14	07/08/14
Specialized Group Services	06/25/13	07/08/14
Shelby's Guardian Angels	12/26/12	07/09/14
Dayland, Inc. AKA Snelling Services	4/1/14 / 5/2/14	08/31/14
Bolden Homes	10/30/13	10/06/14
Safeway Medical Transportation & Prof., Inc.	12/23/13	11/15/14
Elpida Support (Transportation Services only)	07/02/14	12/30/14
Inter Responsive in SITU	05/08/14	12/30/14
Inter Responsive in SITU Home 1*	05/08/14	12/30/14
Inter Responsive in SITU Home 2*	05/08/14	12/30/14
<i>The following Agency Provider Suspensions & Revocations were <u>pending</u> as of 12/31/14 - total: 24</i>		
Mid-Ohio Home Health Care	01/25/13 / 05/15/13	
Whitehaven Farm	03/26/13	
Helping Handz	04/05/13 / 08/21/13	
Open Arms	06/28/13 / 08/13/13	
Hands of Care	12/24/13	
Hogan's Care	01/03/14 / 07/30/14	
Call for Care	01/29/14	
Alpine Nursing Care	03/03/14	
A Caring Homecare	04/08/14	
Hope 4 Change	04/28/14 / 08/29/14	
Sunny View Transportation	05/27/14 / 07/17/14	
Inner City Living	05/27/14 / 07/25/14	
Inner Circle Communities	08/15/14 / 09/09/14	
Cornerstone Community Services	07/24/14	
Our Journey	09/25/14	
Pearl's Ultimate Homecare Services	10/15/14	
The HEEFF	11/03/14	
OSUI Foundation	11/17/14	
Friendly Home Health Care	12/04/14	
Atlas Home Health Care	12/16/14	
Jabez Principle Health Services	12/16/14	
Dailey Supports	12/17/14	
Reva Two	12/17/14	
Amatulla Home	12/18/14	

* Indicates licensed home

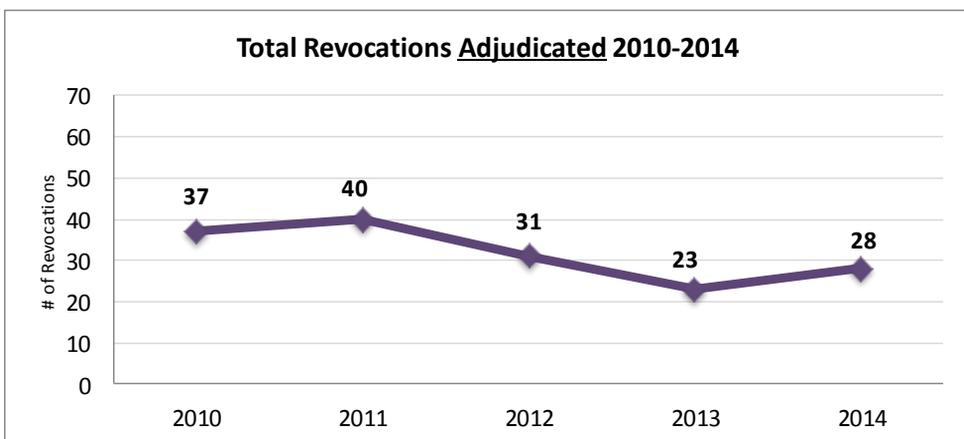
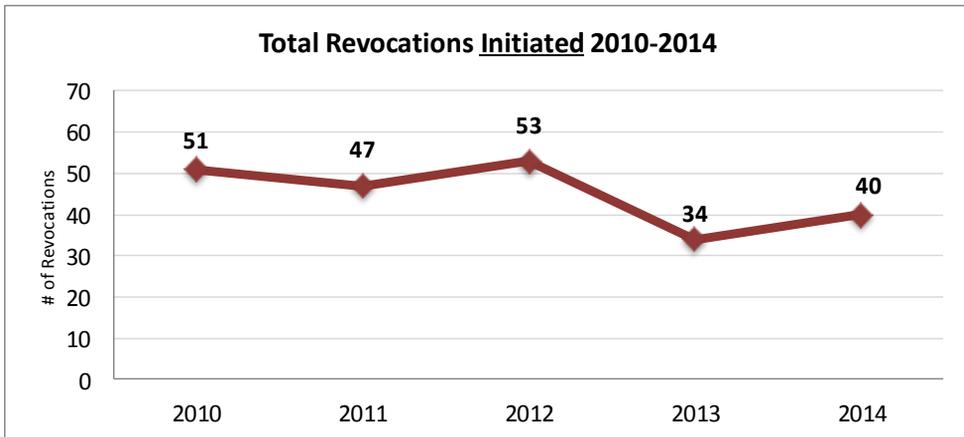
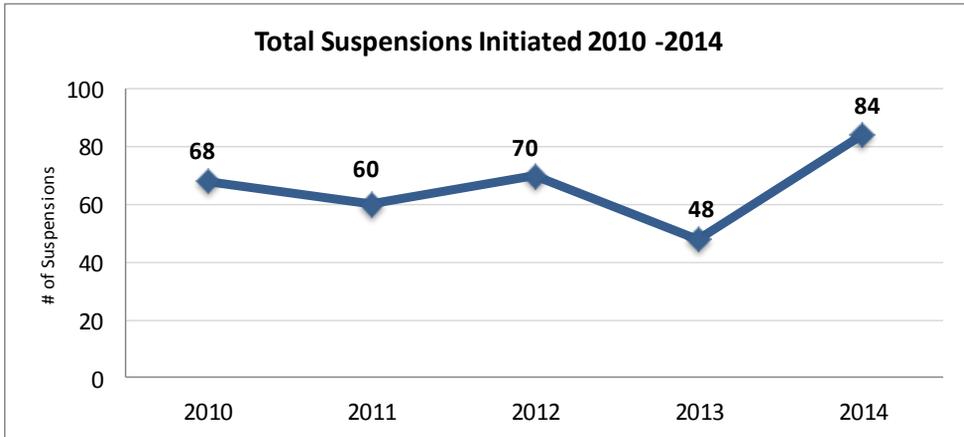
INDEPENDENT PROVIDER SANCTIONS – JANUARY 1 – DECEMBER 31, 2014

SUSPENSIONS & REVOCATIONS INITIATED

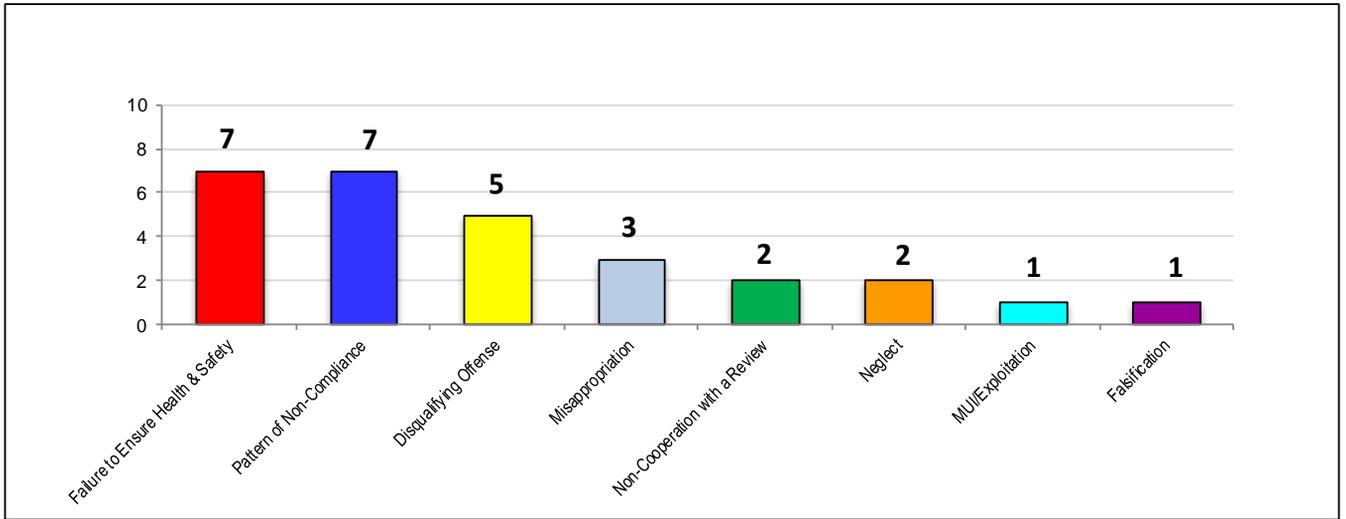
Provider	Suspension/Revocation <u>Imposed</u>	Certifications <u>Revoked</u>
<i>The following Independent Provider Suspensions & Revocations were <u>adjudicated</u> in 2014 - total: 9</i>		
Tina Hollingsworth	06/28/13	02/19/14
Timothy Freeman	10/10/13	02/19/14
Mortica Edwards	01/25/13	02/19/14
Angela Edge	11/08/12 / 05/15/13	02/19/14
Alsha Harris	09/12/13	04/08/14
Chris Davis	04/24/13	06/02/14
Renata McLane	06/28/13	06/02/14
Sheliah Flowers	04/03/14	10/17/14
Edward Mineer	08/22/14	10/17/14
<i>The following Independent Provider Suspensions & Revocations were <u>pending</u> as of 12/31/14 - total: 15</i>		
Betty Sanders	06/12/12	
Cassandra Spivey	01/04/13	
Latasha Polston	05/28/13	
Ingrid Jagroop	09/25/13	
Sheniece Fountaine	04/08/14	
Monica Mitchell-Lett	04/10/14 / 10/22/14	
Lasheka Diggs	04/10/14	
Elexcia Turner	04/11/14	
Toya Hughes	05/30/14	
Tammy Garner	08/15/14 / 12/04/14	
Indea Burns	09/24/14	
Alicia Akers	10/29/14	
Kathleen Porczan	11/18/14	
Kelsey Nye	12/04/14	
Amy Bundy	12/04/14	

Comparable Sanction Data 2010- 2014

In 2014, OPSR *initiated* 84 suspensions of certifications and/or licenses and 40 revocation notifications. Twenty-eight revocations were *adjudicated*. The charts below show the sanction statistics over the past five years.



Offenses Resulting in Revocation in 2014



Failure to Enure Health & Safety	7
Pattern of Non-Compliance	7
Disqualifying Offense	5
Misappropriation	3
Non-Cooperation with a Review	2
Neglect	2
MUI/Exploitation	1
Falsification	1
TOTAL	28