Department of Health & Human Services
Centers for Medicare & Medicaid Services
233 North Michigan Avenue, Suite 600
Chicago, Illinois 60601-5519

June 23, 2015

John McCarthy, Director
Ohio Department of Medicaid
50 W. Town Street – Suite 400
Columbus, OH 43215

Dear Mr. McCarthy:

I am pleased to inform you that your request to amend the Ohio Department of Medicaid home and community-based services Individual Options waiver is approved. This waiver amendment has been assigned control number 0231.R04.01, which should be used in all future correspondence. The Individual Options waiver is authorized under §1915(c) of the Social Security Act and will continue to serve individuals of any age with mental retardation or developmental disability in lieu of institutionalization in an Intermediate Care Facility for the Mentally Retarded (ICF/MR).

Effective July 1, 2015, the amendment:

- includes reserved capacity for: a) to support the transfer of individuals currently enrolled on the Transitions DD Waiver to the Individual Options Waiver and b) Statewide HCBS Waiting List Reduction in accordance with Ohio's budget initiatives
- revises the Ohio Department of Developmental Disabilities (DODD) eligibility assessment tool for determining eligibility for ICF/IID Level of Care (LOC) to include more relevant items and greater specificity in describing applicant/beneficiary disability and functioning,
- includes modifications consistent with the HCBS Statewide Waiver Settings Transition Plan and Appendix C-5: HCB Settings, as it relates to this particular waiver
- updates the quality oversight strategy and performance measures to ensure alignment with CMS Quality Letter (dated March 12, 2014), titled "Modifications to Quality Measures and Reporting in 1915c Home and Community Based Waivers".
- addresses conflict of interest safeguards
- adjusts Appendix J to reflect a rate increase of three percent for Homemaker Personal Care (HPC), OnSite/OnCall, HPC Daily Billing Unit, Adult Foster Care and Adult Family Living. The rate increase is based on Ohio's 16/17 budget initiatives.
- updates Safeguards Concerning Restraints and Restrictive Interventions to reflect the departments "Behavior Support Strategies that Include Restrictive Measures" (OAC 5123:2-2-06) that regulates the use of all restraints and restrictive measures
- makes administrative changes.

The state has satisfactorily responded to inquiries thereby assuring the Centers for Medicare & Medicaid Services (CMS) of the state's accountability for the waiver program. The CMS would greatly appreciate ongoing communication with the state to help keep the Regional Office informed of any changes or updates related to this waiver, including communication regarding possibly extending the current waiver cycle or the contents of the anticipated renewal submission.

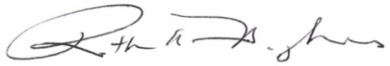
Based on the assurances provided, CMS approves this amendment with the effective date of July 1, 2015. This approval is subject to the State's agreement to provide home and community-based services to no more individuals than approved in the waiver amendment.

The CMS has approved the following estimates of utilization and cost of waiver services which were unchanged by this amendment:

	Unduplicated Recipients (Factor C)	**Community Costs (Factor D+D')**	**Institutional costs (Factor G+G')**	**Total Waiver Costs (Factor C x Factor D)**
Year 1 (7/1/14-6/30/15)	19,000	$72,580.95	$127,797	$1,199,526,050
Year 2 (7/1/15-6/30/16)	21,000	$72,831.18	$131,630	$1,325,103,780
Year 3 (7/1/16-6/30/17)	23,600	$73,155.01	$135,579	$1,489,915,436
Year 4 (7/1/17-6/30/18)	24,000	$73,456.85	$139,646	$1,515,188,400
Year 5 (7/1/18-6/30/19)	24,400	$73,766.77	$143,836	$1,540,463,988

The CMS looks forward to working with the State in continuing to administer this waiver. If there are any questions please contact Keri Toback at 312-353-1754 or Keri.Toback@cms.hhs.gov.

Sincerely,



Ruth A. Hughes
Associate Regional Administrator
Division of Medicaid and Children's Health Operations

cc: Icilda Dickerson, ODM
Debra Moscardino, ODM
Roseann Cluver, ODM
Lynell Sanderson, CMCS