



Annual Report

Fiscal Year 2014 - A Year of Exploration

Ohio

Department of
Developmental Disabilities



About DODD

The DODD mission is continuous improvement of the quality of life for Ohio's citizens with developmental disabilities and their families.

The Ohio Department of Developmental Disabilities (DODD) is responsible for overseeing a statewide system of supports and services for approximately 90,000 citizens with developmental disabilities and their families. Our vision as a partnering agency within the Governor's Office of Health Transformation (OHT) is that Ohio's citizens with developmental disabilities will have many choices and opportunities to experience successful and meaningful lives, and participate in their communities. People served in Ohio's developmental disabilities (DD) system have told us that they want to experience lifestyles that offer personal safety and security, physical and emotional well-being, full community participation, and equal rights. We are listening.

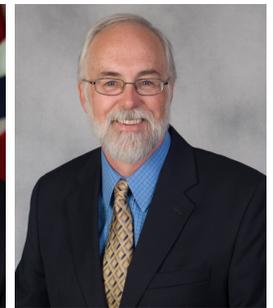
In line with this stakeholder input, Ohio's DD system supports and provides a variety of services designed to ensure individual health and safety, increase opportunities for community-based employment, provide residential options and support, and foster community inclusion. The Department's role is to provide leadership, oversight, and some funding for Ohio's 88 County Boards of DD, along with a network of service providers around the state.

The importance of each of our stakeholders cannot be over-estimated. County Boards implement all local services and supports, and thousands of service providers, certified by DODD, work with County Boards to provide services under the Boards' management. Collaborating with our stakeholders is a necessary, welcome, and ongoing effort.

The DODD Central Office in Columbus performs administrative, program management, and leadership functions. In addition, Developmental Centers around the state provide residential resources and opportunities for individuals to learn skills needed to move to less intensive, more community-based settings. The Centers also provide outreach to service partners, including consultation and staff training.



Governor,
John R. Kasich



Director,
John L. Martin

Leadership



Division of Fiscal Administration
Ann Rengert, Deputy Director



Division of Human Resources
Antoinette Wallace, Deputy Director



Division of Information Technology Services
Bryant Young, Deputy Director



Division of Legal and Oversight
Kate Haller, Deputy Director



Division of Legislative Affairs
and Communications
Zach Haughwout, Deputy Director



Division of Medicaid Development
and Administration
Patrick Stephan, Deputy Director



Division of Policy and
Strategic Direction
Monty Kerr, Deputy Director



Division of Residential Resources
Ginnie Whisman, Deputy Director

Director's Message

Dear Ohio Citizens,

I am pleased to submit to you the Annual Report of the Ohio Department of Developmental Disabilities (DODD) for State Fiscal Year 2014. During the past year, the Department has found several new ways to help Ohioans with developmental disabilities.



DODD Director John Martin steps to the podium with students in The Ohio State University TOPS program. Adam Moss (at podium) addressed nearly 600 attendees at the annual Developmental Disabilities Awareness and Advocacy Day, at the Ohio Statehouse. TOPS stands for Transition Options in Postsecondary Settings for students with intellectual and developmental disabilities.

In 2014, we started progress in three areas:

Helping Ohioans with Autism: The latest research shows Autism is more prevalent than once thought – the Centers for Disease Control now reports one in 68 children has been diagnosed with Autism. It is important to ensure that providers – from police, to bus drivers, to teachers, to medical professionals – are properly trained to work with and meet the needs of these individuals.

To meet that need, we are developing a new, voluntary Autism Certification Program. The program's three tiers of training will reach a broad range of individuals and professionals, including the general public, safety and service professionals, and social service professionals.

Helping Ohioans by Sharing Services: We continue to look for efficiencies that allow us to manage our funds and ensure that dollars are allocated toward items that directly impact the individuals served. One way we are doing this is by encouraging County Boards of Developmental Disabilities to consider sharing a superintendent or other management employees with another County Board if a vacancy occurs.

Helping Ohioans Make Informed Decisions: To make informed decisions about where loved ones live and work, individuals and families need access to information about the providers available to them. To increase transparency, survey and compliance reports are available on the Department's website for the public to review. This will offer individuals and families increased confidence in those who are providing services.

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In describing the work of the DODD Strategic Planning Leadership Group, Director Martin stated,

“By learning from our state and national agency partners we can move forward with our strategic planning from a more informed position. Data-based and evidence-based planning will be key to the availability of community-based and sustainable services for Ohioans with developmental disabilities.”



Awareness event keynote speaker J.J. House, with Sadie Hunter.

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Cover photos:
Top - Chris Hart (in hat), flanked by service team members and other supporters of the Self-Empowered Life Funding Waiver.
Center - Todd Brem on the job at Alcon, where he has worked for seven years.

Goals & Outcomes

DODD Strategic Goals and initiatives align with those of partnering agencies comprising the Office of Health Transformation (OHT), and support Administration objectives for improved fiscal responsibility and sustainability. A few are represented here.

Strategic Goal:

Improve Employment Outcomes for Individuals with DD

In FY 2014, DODD continued its leadership role implementing Employment First policies to ensure that community employment is the preferred outcome for working-age individuals with developmental disabilities. The FY2015 budget will provide \$3 million for Employment First. Improved employment outcomes also are supported by other efforts including Medicaid Buy-In for People with Developmental Disabilities (MBI), and a new partnership with Opportunities for Ohioans with Disabilities (OOD) to fund training for counselors who work with people currently employed in traditional workshop settings to gain a community-based job. This strategic goal is in line with DODD's long-term goal of *increasing the capacity of state and local systems to support individuals and families.*

Strategic Goal:

Streamline Administration, Improve Service Delivery

DODD has identified many opportunities to support the strategic goal to create a more efficient and simpler service delivery system by streamlining program administration within the agency, as well as with state and local partner agencies. Examples include the Strong Families, Safe Communities initiative begun in FY2014, and additional support to county collaborative efforts and pilot projects that share creativity, funding, and technology resources within service regions.

Strategic Goal:

Rebalance Long-Term Care, ICF/IID Conversion

Begun in FY2013 (HB59) and continuing through 2018, this strategic goal aligns with DODD's major effort to *modernize the state's system of residential resources*, including Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IIDs). Responding to input from stakeholders, an historic plan has increased opportunities for people to live in community-based settings. This plan includes a commitment by the field to convert at least 500 ICF/IID beds to waiver-funded supports, and to downsize at least 500 beds at larger ICF/IIDs to settings no larger than eight beds. This strategic goal also aligns with DODD's long-term goal of *designing service delivery models in response to choices made by the people served.*

“ Because the Zoo has fostered an environment of inclusiveness, Samuel enjoys each and every moment of his day at work. How many of us are able to say that of our employment? ”

-Linda Patterson, Sam's Mother



Outcome: Employment

In November 2013, the National Association of People Supporting Employment First (APSE) honored Sam Patterson with the Personal Achievement Award in Ohio. APSE is the only national organization to focus exclusively on integrated employment and career advancement opportunities for people who have disabilities.

Sam's hard work earned him a key role on the Columbus Zoo guest relations team, in this, his second year, working for the Zoo.



FY 2014 Highlights

Highlights, by Division

Division of Policy and Strategic Direction

Implementation of Employment First and several other key initiatives has moved forward in FY2014, offering people new choices and opportunities.

- Launched the Employment First Outcome Tracking System, gathering specific, measurable data such as hours worked and wages earned by people with developmental disabilities receiving services.
- Provided technical assistance to County Boards of DD to increase community employment capacity.
- Built community capacity for people with co-occurring mental illness and developmental disabilities via two-day training sessions.
- Clarified a plan for primary responsibility for Early Intervention services in partnership with the Ohio Department of Health.

Division of Medicaid Development and Administration

Working with the federal Centers for Medicare and Medicaid Services (CMS), and with many stakeholder groups within Ohio's DD community, progress has been made in several key service areas.

- Implemented ICF reimbursement changes, including expanding service categories to better identify individual needs, along with a new Pediatric Vent Outlier rate, a specifically-identified need.
- Received CMS approval on a short-term Community Integration add-on payment to help facilitate individuals moving from an ICF to a waiver.
- Converted 45 ICF beds to Home and Community-Based Services (HCBS) waivers, and downsized 37 beds from large facilities to smaller ones.
- Received CMS approval on the Transitions (TDD) waiver amendment, which includes changes to help expand the pool of providers, and ease the bureaucratic burden of service approvals and billing.

Division of Legal and Oversight

The Division updated many Administrative Rules and Laws, clarifying and simplifying language, and worked to assure individuals' health and safety.

- Conducted a Waiting List study to determine future direction for reducing time waiting for services and determine service needs.
- Revised OAC 5123:2-17-02, the Major Unusual Incident (MUI) rule, in collaboration with stakeholders, to more effectively protect the health and safety of people served.
- Created an online Health & Safety Toolkit.
- Completed an initial review of Transitions (TDD) waivers transferred to DODD from the Ohio Department of Job & Family Services in January 2013, serving nearly 2,900 people. 2,020 TDD providers received compliance reviews through the Division's Office of Provider Standards and Review.



Outcome:

Improve Service Delivery
Reviewing a Strong Families, Safe Communities (SFSC) grant are Belmont-Harrison-Noble (BHN) Alliance Superintendent Stephen Williams, with local Mental Health and Recovery Board and County Board of DD executives. SFSC grants fund proposals across the state using multi-system collaboration and innovative approaches to serving children/youth and families in crisis.



Outcome:

Rebalance Long-Term Care
Self-advocate Tami Grogg (left) and People First Executive Director Sadie Hunter participate in a Strategic Planning Leadership Group meeting. Tami's powerful presentation was about people living in community-based homes, not nursing facilities.

FY 2014 Highlights

Highlights, by Division *continued from p. 5*

Division of Residential Resources

Reducing the census within Ohio's Developmental Center (DC) system will offer other residential resources and choices to the people leaving the Centers, and benefit the system through fiscally-responsible service options.

- Nearly 200 individuals moved from a DC into smaller, community-based settings. This represents roughly 20 percent of the 2013 DC system population, including new admissions and resident deaths. More than 100 moved into waiver-funded settings and 44 moved into private ICFs.
- Developed a new software application to better capture and track performance data measured in the Medication Administration training and certification process. Nearly 49,000 such certifications are issued each year.
- Seven DCs are developing 19 six-bed ICFs, to which interested individuals who currently live in a DC may move. These smaller community settings will be operated by private providers with close involvement from DODD Community Resource Coordinators to ensure success.

Division of Legislative Affairs and Communications

The Division's efforts provide support to the Ohio Legislature as liaison to the statewide DD community, and communicates with its stakeholders via multiple platforms.

- Partnered with the Ohio DD Council and several allied agencies to expand the legislative advocacy focus of the annual DD Awareness & Advocacy Day.
- Increased training opportunities for internal and external stakeholders, to support various initiatives by using new online tools, collaborative partnerships, and staff work groups.
- Provided legislative support for Ohio's Mid-Biennial Review.
- Improved social media sites within the DODD online news presence, increasing reach and transparency, encouraging dialogue, and linking people.

Division of Information Technology

The Division has received statewide recognition for aggressively updating the agency IT system network.

- Implemented *imagine*, a Microsoft Award-winning and person-centered county case management and planning system. The collaborative system will reduce administrative costs and standardize service plans.
- Implemented the Secure Entitlement Management System, a secure perimeter IT gateway to better protect DODD systems and data, while improving security and user experience.
- Automated a manual certification process to enable tracking and documentation of oversight functions.

Division of Human Resources

Workforce Planning efforts that forecast human resource needs based on data are at the core of DODD's HR system.

- Developed and conducted supervisory training across all DODD departments.
- Signed agreements with 1199 and Ohio Civil Services Employee Association (OCSEA) to ensure employment opportunities for individuals with disabilities.
- Reduced Worker's Compensation losses by \$950,000 in Division of Residential Resources.
- Implemented ePerformance web-based performance management program.

Division of Fiscal Administration

Management of a more than \$2 billion budget was top priority. *See more the DODD expense summary on page 8.*

- Implemented the online Data Warehouse, to simplify data, identify trends, and spotlight comparisons.



Outcome:

Living in a Family Home Setting

In 2012, Marsha moved from Gallipolis Developmental Center to a family home in the area. She lives as a member of the Shepler family. They report,

“*Marsha fit into our family quite easily. She was instantly comfortable in our home, and loves her pets.*”

-The Shepler Family

System At-a-Glance

Ohio's developmental disabilities system is supported by a unique combination of local, state, and federal dollars, with local dollars playing a significant role.

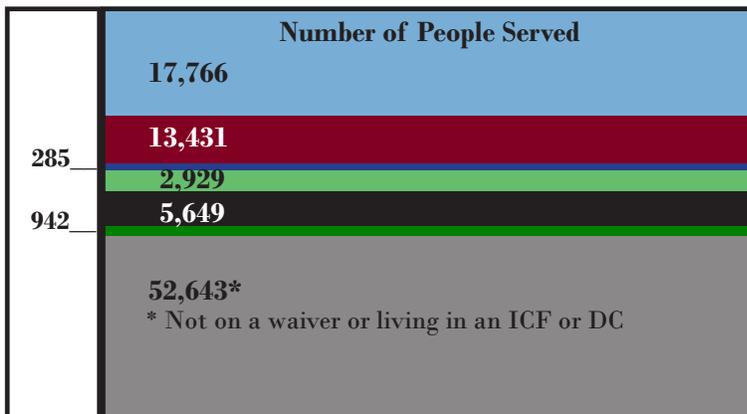
Below is an overview of this combined service delivery system and a graph depicting the number of individuals served by its various parts. DODD's three major funding areas are: waiver match, subsidy support for services provided by County Boards of DD, and state-operated Developmental Centers.

This FY 2014 snapshot of service system composition within the statewide DD community illustrates County Boards' reliance on local funding, and the resulting need to maximize every local dollar available for services. DODD is working with County Boards through regional collaborative efforts that will help to do this, with assistance from the Department in developing IT and administrative infrastructure support. Shared outcomes are focused on person-centered planning and ensuring long-term sustainability of our system.

Collaboration is Key to Service Delivery

DODD partners with many state agencies to stretch funding and other resources serving Ohioans with DD. For example, a new partnership between DODD and Opportunities for Ohioans with Disabilities (OOD) seeks to more effectively match working-age adults with jobs in their communities, and provide training and support services to help them keep those jobs. This new Employment First effort is targeted to benefit at least 1500 people per year.

DODD Director John Martin (right) and OOD Director Kevin Miller say the agencies' partnership is like a healthy tree, with the funding serving as the roots, and the programs and partnerships serving as branches to success.



- Ohio's DD Community - Service System Composition, Number of People Served

- Individual Options Waiver
- Level One Waiver
- SELF Waiver
- Transitions (TDD) Waiver
- Intermediate Care Facility (ICF)
- Developmental Centers
- Locally-Funded Services

Total served, including all funding areas: 93,645

“From a national perspective, I'd say Ohio is kind of 'where it's at.' You are watched! And, the astuteness of your partnerships and the breadth of your long-range planning is noticed.”

-Nancy Thaler, Executive Director

National Association of State Directors of Developmental Disabilities Services

FY 2014 Expenses

DODD Expense Summary

Expenditures as of June 30, 2014

Personal Services - Payroll	\$180,110,382.16
Purchased Personal Services & Other	\$22,465,760.04
Supplies & Maintenance	\$25,285,346.02
Equipment	\$3,560,289.07
Subsidies & Shared Revenue	\$2,147,604,672.14
Judgments, Settlements, Bonds	\$1,114,086.37
Debt Service	\$15,132,900.38
Transfers & Non-Expense	\$40,583,748.53

Total expenses, including all categories: \$2,436,397,184.71

“*Assuring fiscally sustainable programs and services that individuals with developmental disabilities have told us they want and need is the foundation for our planning.*”

-DODD Director John Martin



How Funding Supports Programs

Percentage of expenditures, by program

Waivers	58.05%
Other Community Programs	7.80%
Developmental Centers	8.34%
Intermediate Care Facilities	23.81%
Central Office	1.99%
Of the total expenditures, Medicaid funds support 96.28%, and 3.72% are non-Medicaid funds.	

Director's Message

continued from page 3

In addition to these areas, we continued to make progress with initiatives designed to help individuals with developmental disabilities participate more fully in community life. Employment First efforts increased to 10 percent the number of individuals who are working in community-based jobs. Also, more individuals are moving from large institutional settings to community-based living, offering a less-restrictive environment with the same supports.

These successes would not be possible without the support of our many partners, including the citizens of Ohio who support efforts at the county and state levels. We thank them for their continued collaboration. I am excited about the gains we've made this year, and look forward to continued progress.

Sincerely,
John L. Martin